



Long-Term Survival with Surgery for Nodal Recurrence Following Complete Response to Therapy for De-Novo, Stage IV, Liver-Limited Colorectal Cancer

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Abstract

The lung and liver represent common sites of oligometastatic, recurrent colorectal cancer (CRC). The incidence of solitary nodal recurrences from CRC is low. A large volume of evidence exists to guide the management of patients with isolated recurrence to the liver or lung from which survival data supports an aggressive surgical approach. However, there is a dearth of information pertaining to the therapeutic strategy and long-term outcomes of patients with isolated nodal recurrences, particularly in the setting of previous definitive treatment for stage IV disease. This case report describes two cases whereby an aggressive surgical approach for nodal recurrence is associated with long-term survival following initial complete response to therapy for stage IV CRC to liver.

Case Presentations

Case A

A 59-year old man with a history of blood per rectum underwent a colonoscopy that found a near obstructing sigmoid tumor. Pathology confirmed invasive adenocarcinoma. A computed tomography (CT) scan highlighted the known sigmoid tumor and revealed innumerable liver lesions. He underwent an open sigmoid colectomy and wedge biopsy of a liver lesion. Pathology confirmed stage IV (pT3N1a (1/26 positive lymph nodes) M1), invasive, moderately differentiated adenocarcinoma of the colon and liver according to the American Joint Committee on Cancer (AJCC) TNM staging system for CRC [1]. Molecular analyses confirmed a KRAS/BRAF wild type tumor. His serum carcinoembryonic antigen (CEA) level was 32ng/mL at diagnosis and continued to rise after surgery to 112ng/mL. He was deemed to have inoperable, bilobar hepatic metastases (>20 lesions). He enrolled on a phase II clinical trial exploring the conversion rate to complete resection in patients with unresectable CRC liver metastases treated with the combination of best systemic chemotherapy and liver directed hepatic arterial infusion (HAI) therapy with floxuridine (FUdR) and decadron [2]. A baseline positron emission tomography (PET) scan excluded occult extra-hepatic disease. A HAI pump was inserted and he commenced treatment. He received 6 months of combination HAI and systemic chemotherapy (5-fluorouracil, leucovorin and oxaliplatin (FOLFOX)). An excellent response to treatment was observed on follow-up PET scan (Figure 1). HAI therapy was subsequently held due to the development biliary dilatation. Oxaliplatin was stopped because of peripheral neuropathy. In the setting of biliary dilatation, liver resection was not performed. After a further three months of treatment PET imaging showed a complete response within the liver. Treatment stopped and expectant management ensued. He remained off treatment for 22 months when restaging CT and PET scan revealed a hypermetabolic, left external iliac lymph node and mass in the left lower anterior abdominal wall (Figure 2). His CEA level was elevated (11ng/mL). He proceeded to abdominal wall resection and left pelvic lymphadenectomy. Pathology confirmed metastatic CRC in both the abdominal wall and 1 of 4 left obturator external iliac nodes. He received 6 months of adjuvant chemotherapy. He is now 8 years out from his original diagnosis, of stage IV CRC, without evidence of disease.

Case B

A 61-year old man presented with weight loss and abdominal pain. A CT identified 4 right hepatic lobe liver metastases and small lung nodules of uncertain significance. A colonoscopy revealed a sigmoid tumor. Pathology confirmed an invasive adenocarcinoma. He commenced chemotherapy with 5-FU, leucovorin and irinotecan (FOLFIRI) on 11/24/2003 and received 5 months of treatment. An excellent response was observed (Figure 3). The lung nodules remained stable. His CEA level

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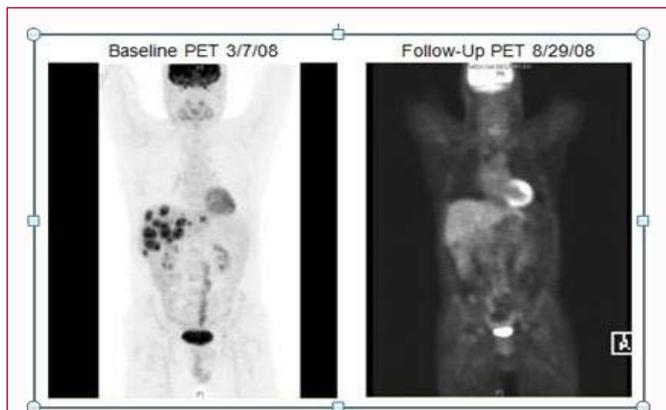


Figure 1: Case A. The baseline PET scan shows hyper-metabolic, hepatic metastases. The follow-up PET scan, after 6 months of liver-directed and systemic chemotherapy, reveals response to treatment with just one focus, in segment 4A, of residual, hepatic, hypermetabolic activity, with a CT correlate, suspicious for viable disease.

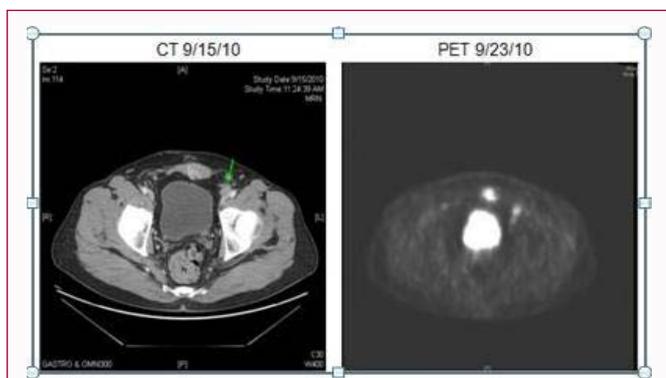


Figure 2: Case A. CT and PET scan performed 22 months after completing first-line therapy with HAI and systemic chemotherapy revealed a 2cm, hyper-metabolic, left external iliac lymph node (SUV 8.8) and a 2.3 x 2.5cm, hyper-metabolic mass in the left lower rectus muscle (SUV 7.5).

fell from 184ng/mL to 4.3ng/mL. He underwent right portal venous embolization (PVE). He proceeded to a synchronous low anterior resection and right trisegmentectomy of the liver with placement of a HAI pump on 05/17/2004. Pathology confirmed a stage IV (ypT3N1 (1/11 LNs) M1) moderately differentiated adenocarcinoma of colon involving liver. He received HAI FUDR and FOLFOX chemotherapy for 6 months in the adjuvant setting. Durable, long-term survival has been reported in patients who underwent resection for CRC liver metastases followed by adjuvant HAI FUDR and systemic chemotherapy (5 and 10 year survivals of 78% and 61%, respectively) [3]. Four months after stopping therapy he had radiological evidence of recurrence within the peritoneum and a mesenteric lymph node (Figure 4). His CEA was marginally elevated at 5.1ng/mL. Molecular analysis of the primary tumor confirmed KRAS wild type disease and he resumed chemotherapy with irinotecan and cetuximab. He responded to 6 months of systemic therapy. On 12/15/2005, he underwent resection of the two sites of intra-abdominal recurrent disease. Pathology confirmed recurrent CRC. He completed 6 months of adjuvant irinotecan and cetuximab. He continues on surveillance and remains without evidence of disease 13 years from his original presentation with stage IV CRC.

Discussion

CRC is a significant health issue globally. In 2012, there were

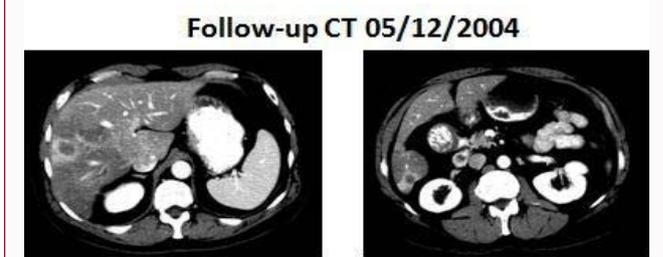
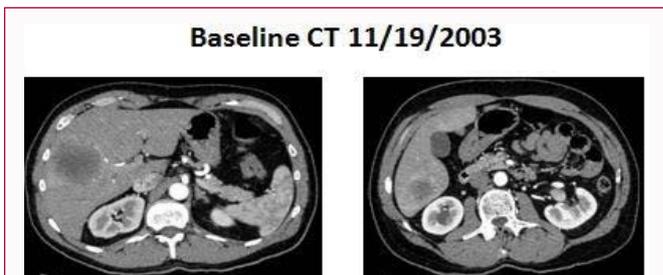


Figure 3: Case B. CT 11/19/2003, revealed multiple liver metastases. CT 05/12/2004, is status post chemotherapy and right PVE and shows a significant reduction in size of liver metastases.

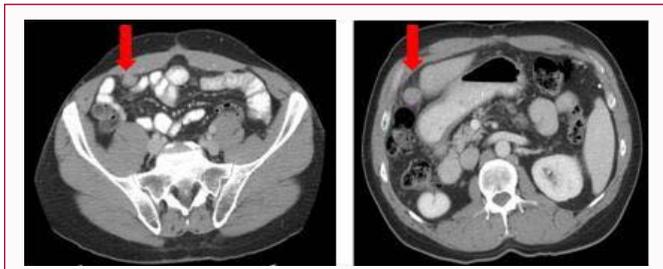


Figure 4: Case B. CT 05/22/2005, reveals two abnormal intra-abdominal foci (red arrows) concerning for recurrent disease.

an estimated 1.4 million new cases diagnosed worldwide [4]. In 2016, an estimated 134,490 new cases and 49,190 deaths from CRC will occur in the United States [5]. Despite advances in the multi-modality management of CRC, approximately 20-50% of patients definitively treated for CRC develop recurrent disease. The majority (>90%) of recurrences occur within 3-5 years of definitive surgery for the primary tumor [6]. Evidence supports an aggressive surgical approach to manage oligometastatic recurrence to liver or lung. The incidence of solitary nodal recurrences from CRC is <10-20% [7,8] and limited data exists to guide management particularly following definitive therapy for stage IV CRC.

Oligometastatic disease is thought to represent an intermediate state in the stepwise evolutionary cascade of metastatic capacity whereby, spread may be limited to specific organs and metastases are present in limited number [9,10]. The temporal differences in the appearance and metastatic capacity of metastases are dependent on tumor genetics [11,12]. Primary tumor cells may have genetically predetermined limited capability in one or more of the necessary biological requirements for metastasis that results in the development of an oligometastatic state [9]. The clinical significance of this hypothetical metastatic state is that local interventions including surgery may represent effective therapeutic strategies. Numerous studies have reported durable survival following surgery with and without additional liver-directed and/or systemic chemotherapy for the management of liver-limited metastatic CRC [2,13-15]. Similarly, in the setting of oligometastatic lung disease of colorectal origin

long-term survival may be achieved [16,17]. The rate of locoregional recurrence following resection of colon cancer ranges between 4-34% [7]. Isolated nodal and abdominal wall recurrences from CRC are rare. Surgery is a less widely accepted therapeutic approach for oligometastatic CRC involving lymph nodes or the abdominal wall than for small volume disease limited to the liver or lung. While patients with locoregional recurrence have a poor prognosis, long-term survival with surgical resection has been reported in a number of studies (5-year survival rates of 15-40%) [7,18]. The rarity of this clinical scenario and the morbidity associated with surgery in this setting are some of the reasons that explain the discrepancy in the management of oligometastatic disease. The potential morbidity associated with surgical resection of locoregional recurrence underscores the importance of appropriate patient selection. A number of prognostic factors have been identified in patients with recurrent CRC including: location and volume of recurrence [7,19], margin status [19], site of the primary lesion [20], nodal involvement of the primary tumor [7], and complete R0 resection [8,18]. However, these prognostic factors have not been consistently identified across studies. Nonetheless, these prognostic factors reflect a logical inference that the biological aggressiveness of both the primary and recurrent tumor has the greatest impact on overall survival [7]. There is limited data to guide management of nodal recurrence from CRC in the setting of a previous definitive therapy for stage IV CRC. One study examining the surgical management of 42 patients with loco-regional recurrent CRC, including nodal recurrences, reported that 18 of these patients underwent concurrent or previous resection of hepatic or pulmonary metastases. No significant difference in outcome was observed between these two groups. The median survival was 21 months compared to 29 months in those without metastatic disease ($p=0.315$) [7]. A few studies discuss the management of retroperitoneal nodal recurrences from CRC. The literature suggests that isolated nodal recurrences to the retroperitoneal area differ in terms of outcome to non-nodal recurrences to this region. Isolated nodal recurrences are distinguished from locoregional recurrences in the same areas by the presence or absence of lymph node involvement in the pathology specimen and the relationship of the lymph node to the original primary tumor. One retrospective study, reviewed the survival data from 31 patients following complete resection for retroperitoneal recurrences from CRC (including 23 nodal recurrences (NR) and 8 locoregional recurrences (LR)). The median overall survival (OS) was 18 months (range, 9-58) in the LR group, and 53 months (range, 4-258) in the NR group ($p=0.033$). The 3-year OS in the LR cohort was 27% versus 81% in the NR group [21]. In another study examining patient outcomes following the multimodality management of an intra-abdominal recurrence of CRC, nodal recurrence was associated with a better prognosis with a 5-year OS rate of 44% compared to 28% for patients with locoregional recurrence [8]. A systematic review examining the surgical management of retroperitoneal nodal recurrences from CRC found in favor of an aggressive surgical approach. No operative mortality associated with salvage resection for retroperitoneal nodal recurrences was observed in the nine studies reviewed. The morbidity rate ranged between 17-33% [22].

Conclusion

There is limited evidence to guide the management of nodal recurrences that develop following definitive therapy for stage IV CRC. This case report highlights that durable survival is possible when a surgical approach is undertaken in this clinical setting. Surgical resection should be considered for small volume nodal

recurrences amenable to complete resection. Combining surgery with perioperative chemotherapy should also be considered. Long-term survival is a distinct possibility with this approach.

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